

BEHIND THE ZEROS OF LAGUERRE POLYNOMIALS

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1 Introduction

The generalized Laguerre polynomials are given by

$$L_n^{(a)}(x) := \frac{1}{n! x^a e^{-x}} \frac{d^n}{dx^n} (x^{a+n} e^{-x}). \quad (1)$$

They can be also expressed by the summation formula

$$L_n^{(a)}(x) := \sum_{k=0}^n \binom{n+a}{n-k} \frac{(-x)^k}{k!} \quad (a > -1, n \in \mathbb{N}), \quad (2)$$

or

$$L_n^{(a)}(x) := \frac{\Gamma(a+n+1)}{\Gamma(n+1)} \sum_{k=0}^n \frac{(-1)^k}{\Gamma(a+k+1)} \binom{n}{k} x^k \quad (a > -1, n \in \mathbb{N}). \quad (3)$$

The leading coefficient is $(-1)^n/n!$. Their generating function is

$$\sum_{k=0}^{\infty} L_k^{(a)}(x) t^k = \frac{1}{(1-t)^{a+1} e^{xt/(t-1)}}.$$

The asymptotic behavior of these polynomials is discussed in lot of books and papers (for example, see [1]).

In the paper [3], W. Koepf and D. Schmersau have made the conjecture about behavior of the generalized Laguerre polynomials $L_n^{(1)}(x)$ in the point $x = 4n + 4$ which is behind their zeros.

Conjecture 1.1. *For the the generalized Laguerre polynomials $L_n^{(a)}(x)$, it is valid*

$$\lim_{n \rightarrow \infty} \frac{-L_n^{(a)}(4n+4)}{L_{n-1}^{(a)}(4n)} = e^2 \quad (0 < a < 6). \quad (4)$$

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Knowing that for any positive sequence $\{u_n\}$ is true:

$$\lim_{n \rightarrow \infty} \frac{u_n}{u_{n-1}} = \lim_{n \rightarrow \infty} \sqrt[n]{u_n}, \quad (5)$$

if both limits exist, we can write conjecture in the next equivalent form.

Conjecture 1.2. For the the generalized Laguerre polynomials $L_n^{(a)}(x)$, it is valid

$$\lim_{n \rightarrow \infty} \sqrt[n]{|L_n^{(a)}(4n+4)|} = e^2 \quad (0 < a < 6). \quad (6)$$

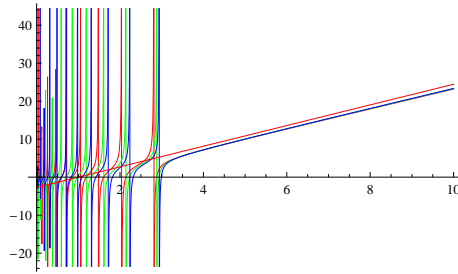


Figure 1. Behavior of $-L_n^{(a)}((n+1)x)/L_{n-1}^{(a)}(nx)$ for $n = 10, 11, 12$

2 Bounds and asymptotic relations

Theorem 2.1. It is valid

$$\lim_{n \rightarrow \infty} \sqrt[n]{|L_n^{(a)}((n+1)x)|} \leq e^{x/2}. \quad (7)$$

Proof. In the paper [8], P.G.Rooney has proved

$$|L_n^{(a)}(x)| < \frac{(a+1)_n}{n!} e^{x/2} \quad (a > 0, x > 0). \quad (8)$$

Hence

$$\sqrt[n]{|L_n^{(a)}((n+1)x)|} < \sqrt[n]{\frac{(a+1)_n}{n!} e^{\frac{n+1}{n} \frac{x}{2}}}. \quad (9)$$

Taking limit we get the statement. \diamond

From this theorem, it is obvious

$$\lim_{n \rightarrow \infty} \sqrt[n]{|L_n^{(a)}(4(n+1))|} \leq e^2. \quad (10)$$

Remark. In the paper [6], I. Krasikov has considered orthonormal Laguerre polynomials

$$\mathbf{L}_n^{(a)}(x) := \frac{L_n^{(a)}(x)}{\sqrt{n! \Gamma(n+a+1)}} \quad (a > -1, x > 0).$$

He proved that it is valid

$$10^{-8} < n^{1/6}(n+a+1)^{-1/2} \max_{x \geq 0} \left((\mathbf{L}_n^{(a)}(x))^2 e^{-x} x^{a+1} \right) < 1444 \quad (a \geq 24; n \geq 35).$$

From the upper bound, we can conclude that

$$\lim_{n \rightarrow \infty} \sqrt[n]{|\mathbf{L}_n^{(a)}((n+1)x)|} \leq e^{x/2} \quad (a \geq 24). \quad (11)$$

Let us denote by

$$f_n^{(a)}(x) = \frac{-L_n^{(a)}((n+1)x)}{L_{n-1}^{(a)}(nx)} \quad (12)$$

Lemma 2.2. *The function $f_n^{(a)}(x)$ has asymptotic line*

$$f_n^{(a)}(x) \sim \left(1 + \frac{1}{n}\right)^n \left(x - 1 - \frac{a-1}{n(n+1)}\right), \quad x \rightarrow +\infty. \quad (13)$$

Proof. It follows from

$$\lim_{x \rightarrow \infty} \frac{f_n^{(a)}(x)}{x} = \left(1 + \frac{1}{n}\right)^n, \quad (14)$$

and

$$\lim_{x \rightarrow \infty} \left(f_n^{(a)}(x) - \left(1 + \frac{1}{n}\right)^n x \right) = -\left(1 + \frac{1}{n}\right)^n \left(1 + \frac{a-1}{n(n+1)}\right) \quad (n \in \mathbb{N}) . \diamond \quad (15)$$

Corollary 2.3. *The function $f_\infty^{(a)}(x)$ has asymptotic line $y = ex - e$, i.e.*

$$f_\infty^{(a)}(x) \sim ex - e, \quad x \rightarrow +\infty. \quad (16)$$

3 The usage of binomial transform

The direct binomial transform \mathbf{B} connects two sequences in the next manner:

$$\mathbf{B} : \{a_n\} \mapsto \{b_n\}, \quad b_n = \mathbf{B}[\{a_0, \dots, a_n\}] = \sum_{k=0}^n \binom{n}{k} a_k. \quad (17)$$

Vice versa, the inverse binomial transform connect is given by sequences in the next manner:

$$\mathbf{B}^{-1} : \{b_n\} \mapsto \{a_n\}, \quad a_n = \mathbf{B}^{-1}[\{b_0, \dots, b_n\}] = \sum_{k=0}^n \binom{n}{k} (-1)^{n-k} b_k. \quad (18)$$

Lemma 3.1. *It is valid*

$$\frac{x^n}{n!} - \frac{x^{n-1}}{(n-1)!} = \sum_{k=0}^n \binom{n}{k} (-1)^k L_k^{(1)}(x). \quad (19)$$

Proof. It follows by mathematical induction. \diamond

Lemma 3.2. *It is valid*

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=0}^n (-1)^k \binom{n}{k} L_k^{(1)}((n+1)x)}{\sum_{k=0}^{n-1} (-1)^k \binom{n-1}{k} L_k^{(1)}(nx)} = e x.$$

Proof. By (19), for $x \rightarrow (n+1)x$, we have

$$\frac{(n+1)^n x^n}{n!} - \frac{(n+1)^{n-1} x^{n-1}}{(n-1)!} = \sum_{k=0}^n \binom{n}{k} (-1)^k L_k^{(1)}((n+1)x).$$

Similarly, for $n \rightarrow n-1$ and $x \rightarrow nx$, we have

$$\frac{n^{n-1} x^{n-1}}{(n-1)!} - \frac{n^{n-2} x^{n-2}}{(n-2)!} = \sum_{k=0}^{n-1} \binom{n-1}{k} (-1)^k L_k^{(1)}(nx).$$

The quotient of last two formulas and limit process, verify the statement. \diamond

E.D. Rainville, Special Functions, Macmillan, New York, 1960.

$$L_n^{(\alpha)}(ax) = \sum_{k=0}^n (\alpha + k + 1)_{n-k} a^k (1-a)^{n-k} L_k^{(\alpha)}(x).$$

Conjecture 3.3. *It is valid*

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=0}^n (-1)^k \binom{n}{k} L_k^{(1)}(4(n+1))}{\sum_{k=0}^{n-1} (-1)^k \binom{n}{k} L_k^{(1)}(4n)} = e.$$

This conjecture is equivalent to

Conjecture 3.4. *It is valid*

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=1}^{n-1} (-1)^k \binom{n-1}{k-1} L_k^{(1)}(4n)}{\sum_{k=0}^{n-1} (-1)^k \binom{n-1}{k} L_k^{(1)}(4n)} = 3.$$

Lemma 3.5. *It is valid*

$$\frac{x^n}{\Gamma(n+a+1)} = \sum_{k=0}^n (-1)^k \binom{n}{k} \frac{k!}{\Gamma(k+a+1)} L_k^{(a)}(x). \quad (20)$$

Proof. The definition of Laguerre polynomials (3) we can consider like the binomial transform with

$$b_n = \frac{n!}{\Gamma(n+a+1)} L_n^{(a)}(x), \quad a_k = \frac{(-1)^k}{\Gamma(a+k+1)} x^k.$$

By applying the inverse binomial transform (17), we get the statement. \diamond

Lemma 3.6. *It is valid*

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=0}^n (-1)^k \binom{n}{k} \frac{\Gamma(k+1)}{\Gamma(k+a+1)} L_k^{(a)}((n+1)x)}{\sum_{k=0}^{n-1} (-1)^k \binom{n-1}{k} \frac{\Gamma(k+1)}{\Gamma(k+a+1)} L_k^{(a)}(nx)} = e x.$$

Proof. It follows directly from the quotient of the formula (19) applied for n with $x \rightarrow (n+1)x$ and $n-1$ with $x \rightarrow nx$. \diamond

Lemma 3.7. *It is true*

$$\lim_{n \rightarrow \infty} \frac{u_n}{u_{n-1}} = L \in \mathbb{R} \setminus \{0\} \quad \Rightarrow \quad \lim_{n \rightarrow \infty} \frac{u_n - u_{n-1}}{u_{n-1} - u_{n-2}} = L. \quad (21)$$

Proof. It follows directly from

$$\lim_{n \rightarrow \infty} \frac{u_n - u_{n-1}}{u_{n-1} - u_{n-2}} = \lim_{n \rightarrow \infty} \frac{\frac{u_n}{u_{n-1}} - 1}{1 - \frac{u_{n-2}}{u_{n-1}}} = \frac{L - 1}{1 - L^{-1}} = L. \quad \diamond$$

Lemma 3.8. *It is true*

$$\mathbf{B}[\{a_0, \dots, a_n\}] - \mathbf{B}[\{a_0, \dots, a_{n-1}\}] = \mathbf{B}[\{a_1, \dots, a_n\}]. \quad (22)$$

Proof. By using formula (17), we obtain

$$\begin{aligned} \mathbf{B}[\{a_0, \dots, a_n\}] - \mathbf{B}[\{a_0, \dots, a_{n-1}\}] &= \sum_{k=0}^n \binom{n}{k} a_k - \sum_{k=0}^{n-1} \binom{n-1}{k} a_k \\ &= a_n + \sum_{k=1}^{n-1} \left(\binom{n}{k} - \binom{n-1}{k} \right) a_k \\ &= a_n + \sum_{k=1}^{n-1} \binom{n-1}{k-1} a_k \\ &= \sum_{i=0}^{n-1} \binom{n-1}{i} a_{i+1} \\ &= \mathbf{B}[\{a_1, \dots, a_n\}]. \quad \diamond \end{aligned}$$

Lemma 3.9. *It is true*

$$\lim_{n \rightarrow \infty} \frac{\mathbf{B}[\{a_0, \dots, a_n\}]}{\mathbf{B}[\{a_0, \dots, a_{n-1}\}]} = 1 + \lim_{n \rightarrow \infty} \frac{a_n}{a_{n-1}}. \quad (23)$$

Proof. Applying (21) for $u_n = \mathbf{B}[\{a_0, \dots, a_n\}]$ and (22), we obtain

$$\lim_{n \rightarrow \infty} \frac{\mathbf{B}[\{a_0, \dots, a_n\}]}{\mathbf{B}[\{a_0, \dots, a_{n-1}\}]} = \lim_{n \rightarrow \infty} \frac{\mathbf{B}[\{a_1, \dots, a_n\}]}{\mathbf{B}[\{a_1, \dots, a_{n-1}\}]}.$$

Repeating it n times, we finally have

$$\lim_{n \rightarrow \infty} \frac{\mathbf{B}[\{a_0, \dots, a_n\}]}{\mathbf{B}[\{a_0, \dots, a_{n-1}\}]} = \lim_{n \rightarrow \infty} \frac{\mathbf{B}[\{a_{n-1}, a_n\}]}{\mathbf{B}[\{a_{n-1}\}]} = \lim_{n \rightarrow \infty} \frac{a_{n-1} + a_n}{a_{n-1}},$$

wherefrom conclusion follows. \diamond

Let us denote by

$$\Phi_n^{(a)}(x) = \sum_{k=0}^n \binom{n}{k} (-1)^k L_k^{(a)}((k+1)x). \quad (24)$$

Conjecture 3.10. *It is valid*

$$\Phi_{2n}^{(a)}(x) = x^n Q_n(x), \quad \Phi_{2n-1}^{(a)}(x) = x^{n-1} R_n(x), \quad (25)$$

where $Q_n(x)$ and $R_n(x)$ have only positive zeros.

By previous lemma, the main conjecture (4) is equivalent with the next one.

Conjecture 3.11. *It is valid*

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=0}^n \binom{n}{k} (-1)^k L_k^{(a)}(4(k+1))}{\sum_{k=0}^{n-1} \binom{n-1}{k} (-1)^k L_k^{(a)}(4(k+1))} = e^2 + 1. \quad (26)$$

4 The shifted Laguerre polynomials

Let us denote by

$$P_n^{(a)}(x) = L_n^{(a)}((n+1)x).$$

These polynomials satisfy the next differential equation

$$x \frac{d^2}{dx^2} \tilde{P}_n^{(a)}(x) + (a+1 - (n+1)x) \frac{d}{dx} \tilde{P}_n^{(a)}(x) + n(n+1) \tilde{P}_n^{(a)}(x) = 0.$$

They are not orthogonal in the standard sense and do not satisfy the classical three-term recurrence relation.

Example 1. The first three members of the sequence $\{P_n^{(1)}(x)\}$ are

$$P_1^{(1)}(x) = x - 1, \quad P_2^{(1)}(x) = x^2 - 2x + \frac{2}{3}, \quad P_3^{(1)}(x) = x^3 - 3x^2 + \frac{9}{4}x - \frac{3}{8},$$

and obviously

$$P_3^{(1)}(x) - (x-1)P_2^{(1)}(x) = \frac{7-10x}{24} \neq P_1^{(1)}(x).$$

We can expand $P_n^{(a)}(x)$ over the corresponding Laguerre polynomials $L_k^{(a)}(x)$ in the next way

$$P_n^{(a)}(x) = \sum_{k=0}^n c_{n,k} L_k^{(a)}(x),$$

where

$$c_{n,n} = (n+1)^n, \quad c_{n,k} = -\frac{n(a+k+1)}{(n+1)(n-k)} c_{n,k+1} \quad (k = n-1, n-2, \dots, 0).$$

These coefficients can be also written in the form

$$c_{n,n} = (n+1)^n, \quad c_{n,k} = \frac{(-1)^{n-k}}{(n-k)!} n^{n-k} (n+1)^k \prod_{i=k}^{n-1} (a+1+i) \quad (0 \leq k \leq n-1).$$

Example 2. Here are the expansions a few $P_n^{(1)}(x)$ over the corresponding Laguerre polynomials

$$P_3^{(1)}(x) = 64L_3^{(1)}(x) - 192L_2^{(1)}(x) + 216L_1^{(1)}(x) - 108L_0^{(1)}(x),$$

$$P_4^{(1)}(x) = 625L_4^{(1)}(x) - 2500L_3^{(1)}(x) + 4000L_2^{(1)}(x) - 3200L_1^{(1)}(x) + 1280L_0^{(1)}(x).$$

5 Additional conjectures

Let us denote by

$$s_n = (-1)^n L_n^{(1)}(4n+4), \quad f_n = \frac{s_n}{s_{n-1}} \quad (n \in \mathbb{N}).$$

Conjecture 5.1. *The sequence $\{L_n^{(a)}(4n+4)\}$ has the next property:*

(i)

$$\left(1 + \frac{1}{n}\right)^{2n^2-n} < s_n < \left(1 + \frac{1}{n}\right)^{2n^2+n} \quad (n \in \mathbb{N}). \quad (27)$$

(ii)

$$e^{2n-2} < s_n < e^{2n+1} \quad (n \in \mathbb{N}). \quad (28)$$

Conjecture 5.2. *The sequence $\{f_n\}$ has the next properties:*

(i) $\{f_n\}$ is increasing and bounded;

(ii) $\{f_n\}$ satisfies the next inequalities:

$$f_{n+1} < f_{n+2} < \frac{f_{n+1} + f_n}{1.85} \quad (n \in \mathbb{N}); \quad (29)$$

(iii) $\{f_n\}$ satisfies the next inequalities:

$$\left(1 + \frac{1}{n}\right)^{2n} < f_n < \left(1 + \frac{1}{n}\right)^{2n+1} \quad (n \in \mathbb{N}); \quad (30)$$

(iv) $\{f_n\}$ is converging to $e^2 \approx 7.38906 \dots$

Conjecture 5.3. For the the generalized Laguerre polynomials $L_n^{(1)}(x)$, it is valid

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=0}^n |L_k^{(1)}(4n+4)|^m}{\sum_{k=0}^{n-1} |L_k^{(1)}(4n)|^m} = e^{2m} \quad (m \in \mathbb{N}). \quad (31)$$

Also,

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=0}^n (L_k^{(1)}(4n+4))^m}{\sum_{k=0}^{n-1} (L_k^{(1)}(4n))^m} = (-1)^m e^{2m} \quad (m \in \mathbb{N}). \quad (32)$$

From orthogonality relation

$$\int_0^\infty t^k L_n^{(a)}(t) t^a e^{-t} dt = 0, \quad k = 0, 1, \dots, n-1,$$

by introducing new variable $x = (n+1)t$, we get

$$\int_0^\infty x^k P_n^{(a)}(x) \frac{x^a e^{-x}}{e^{nx}} dx = 0, \quad k = 0, 1, \dots, n-1.$$

Conjecture 5.4. The zeros of every two different polynomials from the sequence $\{P_n^{(a)}(x)\}$ interlace each other.

Let us denote by

$$D_n^{(a)}(x) = (-1)^n L_n^{(a)}((n+1)x) - \left(1 + \frac{1}{n}\right)^{2n} (-1)^{n-1} L_{n-1}^{(a)}(nx).$$

and

$$U_n^{(a)}(x) = \left(1 + \frac{1}{n}\right)^{2n+1} (-1)^{n-1} L_{n-1}^{(a)}(nx) - (-1)^n L_n^{(a)}((n+1)x).$$

It is valid

$$\int_0^\infty x^k \left((-1)^n L_n^{(a)}((n+1)x) - \left(1 + \frac{1}{n}\right)^{2n} (-1)^{n-1} L_{n-1}^{(a)}(nx) e^x \right) \frac{x^a e^{-x}}{e^{nx}} dx = 0, \quad k = 0, \dots, n-2.$$

Conjecture 5.5. The zeros of every two different polynomials from the polynomial sequence $\{D_n^{(a)}(x)\}$ ($0 \leq a \leq 6$) are contained in $(0, 4)$ and interlace each other.

Conjecture 5.6. The zeros of every two different polynomials from the sequence the polynomial $\{U_n^{(a)}(x)\}$ ($0 \leq a \leq 6$) are contained in $(0, 4)$ and interlace each other.

Remark 5.1. The polynomials $\{D_n^{(a)}(x)\}$ do not satisfy three-term recurrence relation. The same is valid for $\{U_n^{(a)}(x)\}$.

6 G. Szegő

Theorem 6.1. (G. Szegő [10]) Let $f : [0, x_1] \rightarrow \mathbb{R}$ be a convex function. Then it is valid:

$$x_1 \geq x_2 \geq \cdots \geq x_{2n+1} \geq 0 \quad \Rightarrow \quad f\left(\sum_{k=1}^{2n+1} (-1)^{k-1} x_k\right) \leq \sum_{k=1}^{2n+1} (-1)^{k-1} f(x_k) \quad (n \in \mathbb{N}).$$

Corollary 6.2. It is valid:

$$h^{n/2} \leq \sum_{k=0}^n (-1)^{n-k} h^k \quad (h > 1; n \in \mathbb{N}).$$

Proof. The function $f(x) = h^x$ is a convex function for $h > 1$. It is enough to take the sequence

$$n > n-1 > \cdots > 2 > 1 > 0 = 0 = \cdots = 0.$$

How to prove

$$\sum_{k=0}^n \frac{(-1)^{n-k} 4^k (n+1)^k}{k!(k+1)!(n-k)!} > \frac{1}{(n+1)!} \cdot \frac{(n+1)^{n(2n+1)}}{n^{n(2n+1)}}.$$

The function

$$f(x) = \frac{4^x (n+1)^x}{\Gamma(x+1)\Gamma(x+2)\Gamma(n+1-x)}$$

is not convex on $(0, n)$, but it is on $(0, n/2)$.

Conjecture 6.3. It is valid

$$\sum_{k=0}^{\lfloor n/2 \rfloor} \frac{(-1)^{n-k} 4^k (n+1)^k}{k!(k+1)!(n-k)!} > \frac{4^{n/4} (n+1)^{n/4}}{[n/2]!^2 ([n/2] + 1)!}.$$

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